Identification of chemical compositions and sources of atmospheric aerosols in Xi’an, inland China during two types of haze events

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HIGHLIGHTS
• Hourly changes in chemical compositions of aerosols were quantified.
• Aerosols in the Light-haze event are characteristic of dust-related species.
• Aerosols in the Severe-haze are characteristic of secondary inorganic species.
• Sulfate and nitrate production is more efficient than SOA in haze periods.

GRAPHICAL ABSTRACT

ABSTRACT
High time resolution (1 h) of TSP filter samples was collected in Xi’an in inland China from December 5 to 13, 2012, during which a 9-day long of haze episode occurred. The hazy days were classified as two types, i.e., Light-haze period with moderate degradation in visibility (5–10 km) and relatively dry conditions (RH: 53 ± 19%) and Severe-haze period with a daily visibility less than 5 km and humid conditions (RH: 73 ± 14%). TSP in the two periods (415 ± 205 and 530 ± 180 μg m⁻³ in Light-haze and Severe-haze periods, respectively) was comparable, but crustal Fe and Ca elements presented higher concentrations and strong correlation (R² = 0.72) with TSP in Light-haze period. SO₄²⁻, NO₃⁻ and NH₄⁺ in Light-haze period were 16 ± 5.9, 12 ± 6.7 and 4.1 ± 2.8 μg m⁻³, respectively, and increased dramatically to 51 ± 15, 44 ± 9.7 and 23 ± 5.6 μg m⁻³ in Severe-haze period. Contributions of Fe and Ca to TSP decreased from 9.2% in Light-haze period to 5.3% in Severe-haze period, but those of SO₄²⁻, NO₃⁻ and NH₄⁺ increased from 3.8%, 2.9% and 1.0% in Light-haze period to 9.6%, 8.3% and 4.4% in Severe-haze period, respectively. These results suggest that dust-derived particles were more significant in Light-haze period while secondary aerosols were more important in Severe-haze period. Hopanes (33 ± 24 and 38 ± 29 ng m⁻³) during the two types of haze event are characteristic of dust-related species.

Keywords: TSP and haze
Relative humidity
Sulfate, nitrate and dust
Primary and secondary organic aerosols

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periods are comparable, indicating that differences in contribution of primary organic aerosols from fossil fuel combustions to TSP were insignificant. In contrast, the ratio of secondary organic aerosols (e.g., α-phthalic acid) to EC was much higher in Severe-haze period (5.8 ± 2.7 ng μg \(^{-1}\)) than in Light-haze period (3.4 ± 2.1 ng μg \(^{-1}\)), probably indicating that the humid conditions in Severe-haze period are favorable for secondary organic aerosol formation.

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1. Introduction

Air pollution in China has been a persistent problem because of tremendous air pollutants such as SO\(_2\), NO\(_x\), elemental carbon (also called as black carbon) and volatile organic compounds (VOCs) emitted from power plants, industry and vehicles due to rapid economy development. The emitted pollutants affect not only the local air quality but also the global climate via a long-range transport. Therefore, air pollution in China has been a major concern worldwide (Guo et al., 2014; Huang et al., 2014; Menon et al., 2002; Streets and Waldhoff, 2000). In order to efficiently reduce the pollutant emissions, numerous studies have been conducted to investigate the sources and formation mechanisms of haze in the country. However, these studies mostly focused on its east coastal developed regions such as Beijing–Tianjin region (Quan et al., 2011; Zhao et al., 2013), Yangtze River Delta (Q. Fu et al., 2008; Wang et al., 2014a; Ye et al., 2011) and Pearl River Delta (Tan et al., 2009), and information of air pollution in inland China is limited. Due to differences in energy compositions, economy development levels and climate conditions air pollution characteristics in inland China are significantly different from that in east coastal China. For instance, in the past five years SO\(_2\) levels in Beijing and Shanghai have sharply decreased, resulting in nitrate in fine particles exceeding over sulfate (Y.L. Sun et al., 2013; Zhao et al., 2015). In contrast, sulfate is still the dominant species of fine particles in inland China because of the predominance in coal combustion for energy consumption (Li et al., 2014). Moreover, owing to limited precipitation airborne particles from some regions of inland China are often enriched in dust (Zhang et al., 2015). Therefore, China is a large and diverse source of aerosols and trace gases to the atmosphere.

Xi’an (34.27° N, 108.90° E) is located in Guanzhong Basin, a semiarid region in inland China, and is one of the most heavily polluted megacities in the country with an annual concentration of PM\(_{2.5}\) on the ground surface more than 80 μg m\(^{-3}\) during 2001–2006 (van Donkelaar et al., 2010). Xi’an has consumed a huge amount of energy in recent years due to economy expansion. For example, the annual ground surface more than 80 μg m\(^{-3}\) during 2001–2006 (van Donkelaar et al., 2010). Xi’an has consumed a huge amount of energy in recent years due to economy expansion. For example, the annual consumption of Xi’an in 2012 reached 9.9 × 10\(^{9}\) tons of SCE (standard coal equivalent), while the annual vehicle number of the city in 2012 was about 1.6 × 10\(^{8}\) (Xi’an Municipal Bureau of Statistics and NBS Survey Office in Xi’an, 2013). Considering the basinlike topography and semiarid climate, such a high level of PM\(_{2.5}\) suggests that the chemical composition and sources of atmospheric aerosols in Guanzhong Basin including Xi’an are probably different from those in the east coastal regions. For example, Huang et al. (2014) compared the chemical compositions and sources of PM\(_{2.5}\) in Beijing, Shangh hai, Guangzhou and Xi’an during the heavy hazy period of January 2013 and found that aerosols in Xi’an were more affected by dust. In the current work TSP filter samples were collected with a 1-hour time resolution at the urban center of Xi’an from December 5th to 13th, 2012, and analyzed for organic carbon (OC), elemental carbon (EC), inorganic ions, elements and organic molecules in order to understand the origin and formation mechanism of haze problem in the city. Our results revealed two types of hazes in Xi’an, which are characterized by primary emissions from dust with a low relative humidity and secondary components with a high relative humidity, respectively.

2. Experimental section

2.1. Sample collection

TSP samples were collected on the rooftop (10 m above the ground) of a three-story building on the campus of the Institute of Earth Environment, Chinese Academy of Sciences, which is located in the urban center of Xi’an (Fig. S1). The sampling was conducted from December 5 to 13, 2012 by alternately using two high volume (1.0 m\(^3\) min \(^{-1}\)) samplers (same mode produced by Wuhan Tianhong Instruments Co., Ltd, China) with 1 h duration in each. The two samplers were calibrated before the sampling. A total of 202 hourly samples were collected onto pre-baked (450 °C, 6–8 h) quartz fiber filters. Field blank samples were also collected by mounting blank filters onto the sampler for about 10 min without pumping any air. After sampling, the filter samples were individually sealed in aluminum foil bags and stored in a freezer (−20 °C) prior to analysis.

2.2. Sample analysis

2.2.1. Organic compounds

A 12.5 or 25 cm\(^{-2}\) punch of the sample/blank filter was cut and extracted with a mixture of dichloromethane and methanol (2:1, v/ v) under ultrasonication. The extracts were concentrated using a rotary evaporator under vacuum conditions and then blow down to dryness using pure nitrogen. After reaction with N,O-bis-trimethylsilyl) trifluoroacetamide (BSTFA) at 70 °C for 3 h, the derivatives were determined using gas chromatography/mass spectrometry (GC/MS).

GC/MS analysis of the derivatives was performed using an Agilent 7890A GC coupled with an Agilent 5975C MSD. The GC separation was carried out using a DB-5MS fused silica capillary column with the GC oven temperature programmed from 50 °C (2 min) to 120 °C at 15 °C min \(^{-1}\) and then to 300 °C at 5 °C min \(^{-1}\) with a final isothermal hold at 300 °C for 16 min. The sample was injected in a splitless mode at an injector temperature of 280 °C, and scanned from 50 to 650 Da using electron impact (EI) mode at 70 eV. GC–MS response factors (RF) of most target compounds were determined using the authentic standards. RF of 2,3-dihydroxy-4-oxopentanoic acid were replaced by that of succinic acid because the standard is not commercially available. Limits of detection (LOD) and quantitation (LOQ) of the target compounds were calculated based on a signal-to-noise ratio (SNR) approach. Signal-to-noise ratios of 3:1 and 10:1 were considered for the estimations of LOD and LOQ in the current study. LOD and LOQ were in the range of 0.004–0.122 and 0.012–0.407 μg L \(^{-1}\), respectively, for all organic compounds studied. The accuracy of the methodology is 98.2%, determined by the error obtained between the mean value of triplicates of a standard solution of 2 μg L \(^{-1}\). The precision of the methodology is 5.6%, calculated as the relative standard deviation (%RSD). No significant contamination (<5% of those in the samples) was found in the blanks. Recoveries of all the target compounds ranged from 80% to 120%. Data presented were corrected for the field blanks but not corrected for the recoveries.
2.2.2. EC, OC, inorganic ions, WSOC, WSIC and elements

OC (organic carbon) and EC (elemental carbon) were analyzed using DRI Model 2001 Carbon Analyzer following the Interagency Monitoring of Protected Visual Environments (IMPROVE) thermal/optical reflectance (TOR) protocol. Sample filter was placed in a quartz boat inside the analyzer and gradually heated to temperatures of 140 °C (OC1), 280 °C (OC2), 480 °C (OC3), and 580 °C (OC4) in a non-oxidizing helium (He) atmosphere, and 580 °C (EC1), 740 °C (EC2), and 840 °C (EC3) in an oxidizing atmosphere of 2% oxygen in helium. Pyrolyzed carbon (PC) is determined by reflectance and transmittance of 633 nm light. The analyzer was calibrated with a known quantity of CH4 every day. One sample was randomly selected from 10 samples and re-analyzed. Differences determined from the replicate analyses were < 5% for TC and < 10% for OC and EC.

A portion of the filter was cut and extracted with 40 mL Milli-Q water under sonication (15 min each, repeated 3 times) and filtered through a PTFE filter to remove any particles and filter debris. Then the water-extract was divided into two parts. One part was used for inorganic ion analysis using ion chromatography (Dionex 600, Dionex, US). The detection limits were lower than 0.05 mg L \(^{-1}\) for both anions and cations. The second part of the water-extract was analyzed for water-soluble organic (WSOC) and inorganic carbon (WSIC) using a TOC analyzer (TOC-L CPH, Shimadzu, Japan).

Elemental compositions were determined by energy dispersive X-ray fluorescence (ED-XRF) spectrometry (Epsilon 5 ED-XRF, PANalytical B.V., Netherlands). Each sample was analyzed for 30 min to obtain a spectrum of X-ray counts versus photon energy with the individual peak energies matching specific elements and peak areas corresponding to elemental concentrations. The spectrometer was calibrated with thin-film standards obtained from MicroMatter Co. (Arlington, WA, USA).

All carbonaceous components, inorganic ions and element data reported here were corrected by the field blanks (less than 10% of those in the ambient samples).

3. Results and discussion

3.1. Meteorological condition and TSP concentration

Based on the meteorological condition and TSP concentration variation (Fig. 1), the sampling campaign can be classified as three periods. (1) Clean period, from the sampling beginning hour to December 5 at 17:00. During this period the visibility was higher than 10 km and averaged hourly TSP was 187 ± 107 μg m \(^{-3}\). The higher wind speeds (2.4 ± 0.6 m s \(^{-1}\)) during this period are more beneficial for atmospheric diffusion in comparison with the remaining periods. (2) Light-haze period, from December 5 at 18:00 to December 9 at 20:00. Visibility decreased to the range of 5–10 km in most hours of this period. Averaged TSP concentration increased up to 415 ± 205 μg m \(^{-3}\), more than 2 times higher than that in the Clean period. (3) Severe-haze period, from December 10 at 10:00 to the sampling end. Air quality was extremely poor during this period; the average visibility declined to less than 3 km. However, the average TSP concentration (530 ± 180 μg m \(^{-3}\)) was only slightly higher than that in the Light-haze hours. Wind speeds (1.3 ± 0.5 m s \(^{-1}\) and 1.4 ± 0.8 m s \(^{-1}\) in Light- and Severe-haze periods, Table 1) during the Light- and Severe-haze periods were comparable, but the relative humidity was obviously higher in the Severe-haze period (53 ± 19% and 73 ± 14% in Light- and Severe-haze periods, Table 1).

3.2. Major chemical species

3.2.1. Dust-related components

As shown in Fig. 1c, TSP concentration presented a temporal variation pattern similar to that of elemental Fe during the Clean and Light-haze periods, suggesting that the concentrations of TSP are dominated by the dust-related species in the two relatively dry periods (Cao et al., 2008; Wu et al., 2011). Concentrations of Fe and Ca in the samples

![Fig. 1](image-url)
collected during the Severe-haze period decreased obviously, being 0.7 times of those in the Light-haze period (Table 1). Water soluble Ca\(^{2+}\) presented comparable concentrations in the two haze periods (9.9 ± 3.1 μg m\(^{-3}\) in the Light-haze and 11 ± 4.3 μg m\(^{-3}\) in the Severe-haze periods, respectively). In contrast, other water-soluble ions increased at least two times in the Severe-haze period. Robust linear correlation between Fe and TSP was only found in the Clean and Light-haze periods (R\(^2\) = 0.72, Fig. 2a), indicating an important contribution of dust-related

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**Table 1**

Meteorological conditions and concentrations of determined chemical compositions of TSP in the three sampling periods in Xi'an.

<table>
<thead>
<tr>
<th></th>
<th>Clean (N = 21)</th>
<th>Light-haze (N = 99)</th>
<th>Severe haze (N = 82)</th>
<th>S/L(^4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range</td>
<td>Ave. ± SD</td>
<td>Range</td>
<td>Ave. ± SD</td>
<td>Range</td>
</tr>
<tr>
<td>I. Meteorology parameters</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wind speed (m s(^{-1}))</td>
<td>1.3–3.6</td>
<td>2.4 ± 0.6</td>
<td>0.0–2.5</td>
<td>1.3 ± 0.5</td>
</tr>
<tr>
<td>Temperature (°C)</td>
<td>1.2–10.7</td>
<td>6.7 ± 2.7</td>
<td>−4.3–14.5</td>
<td>2.7 ± 4.7</td>
</tr>
<tr>
<td>Relative humidity (%)</td>
<td>21–46</td>
<td>32 ± 8</td>
<td>15–86</td>
<td>53 ± 19</td>
</tr>
<tr>
<td>Visibility (km)</td>
<td>8.9–14.7</td>
<td>12.3 ± 1.3</td>
<td>3.1–12.2</td>
<td>6.8 ± 2.0</td>
</tr>
<tr>
<td>II. Major chemical species (μg m(^{-3}))</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TSP</td>
<td>63–514</td>
<td>187 ± 107</td>
<td>104–966</td>
<td>415 ± 205</td>
</tr>
<tr>
<td>OC</td>
<td>4.2–44</td>
<td>19 ± 12</td>
<td>19–149</td>
<td>61 ± 29</td>
</tr>
<tr>
<td>EC</td>
<td>1.8–16</td>
<td>6.2 ± 4.6</td>
<td>4.4–45</td>
<td>18 ± 9.4</td>
</tr>
<tr>
<td>WSOC(^{b})</td>
<td>3.1–23</td>
<td>8.9 ± 4.9</td>
<td>6.3–141</td>
<td>26 ± 18</td>
</tr>
<tr>
<td>WSIC(^{b})</td>
<td>2.4–6.2</td>
<td>4.2 ± 1.1</td>
<td>1.9–13</td>
<td>6.3 ± 1.8</td>
</tr>
<tr>
<td>SO(_4)^{2−}</td>
<td>5.0–15</td>
<td>7.7 ± 2.6</td>
<td>6.8–35</td>
<td>16 ± 5.9</td>
</tr>
<tr>
<td>NO(_3)^{−}</td>
<td>0.8–7</td>
<td>3 ± 1.7</td>
<td>2.9–31</td>
<td>12 ± 6.7</td>
</tr>
<tr>
<td>NH(_4)^{+}</td>
<td>0.0–1.5</td>
<td>0.7 ± 0.4</td>
<td>0.3–13</td>
<td>4.1 ± 2.8</td>
</tr>
<tr>
<td>Ca(^{2+})</td>
<td>4.1–11</td>
<td>7.1 ± 1.8</td>
<td>1.3–21</td>
<td>9.9 ± 3.1</td>
</tr>
<tr>
<td>Ca</td>
<td>6.2–28</td>
<td>14 ± 5.7</td>
<td>8.1–65</td>
<td>28 ± 12</td>
</tr>
<tr>
<td>Fe</td>
<td>0.3–9.9</td>
<td>4.8 ± 2.6</td>
<td>0.3–26</td>
<td>10 ± 5.2</td>
</tr>
<tr>
<td>III. Primary organic markers (ng m(^{-3}))</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hopanes</td>
<td>na(^{-}7)</td>
<td>3.0 ± 2.0</td>
<td>1.7–95</td>
<td>33 ± 24</td>
</tr>
<tr>
<td>Dehydrated sugars(^d)</td>
<td>17–236</td>
<td>94 ± 71</td>
<td>124–5814</td>
<td>1203 ± 1146</td>
</tr>
<tr>
<td>Other sugars(^f)</td>
<td>4–84</td>
<td>31 ± 23</td>
<td>38–1381</td>
<td>210 ± 200</td>
</tr>
<tr>
<td>PAHs</td>
<td>5–55</td>
<td>22 ± 12</td>
<td>29–649</td>
<td>191 ± 141</td>
</tr>
<tr>
<td>n-Alkanes</td>
<td>43–447</td>
<td>167 ± 90</td>
<td>153–2626</td>
<td>1118 ± 677</td>
</tr>
<tr>
<td>Dehydroabietic acid</td>
<td>2.0–42</td>
<td>15 ± 10</td>
<td>25–798</td>
<td>197 ± 192</td>
</tr>
<tr>
<td>IV. Secondary organic markers (ng m(^{-3}))</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>o-Phthalic acid</td>
<td>0.8–16</td>
<td>6.3 ± 4.5</td>
<td>10–142</td>
<td>51 ± 29</td>
</tr>
<tr>
<td>Succinic acid</td>
<td>1.8–18</td>
<td>7.1 ± 4.4</td>
<td>6.1–52</td>
<td>22 ± 11</td>
</tr>
<tr>
<td>2,3-Dihydroxy-4-oxopentanoic acid</td>
<td>0.8–5.5</td>
<td>1.9 ± 1.1</td>
<td>2.7–23</td>
<td>9.2 ± 4.6</td>
</tr>
</tbody>
</table>

\(\text{a} \ S/L: \text{ratio of the concentration in Severe-haze period (S) to Light-haze period (L).}\)
\(\text{b} \ WSOC: \text{water soluble organic carbon};\ WSIC: \text{water soluble inorganic carbon};\ WSON: \text{water soluble organic nitrogen}.\)
\(\text{c} \ na: \text{not available.}\)
\(\text{d} \ Dehydrated sugars: \text{sum of galactosan, mannosan, and levoglucosan};\ other sugars: \text{sum of arabitol, fructose, glucose, mannitol, inositol, sucrose, and trehalose}.\)
emissions to TSP in these two periods rather than in the Severe-haze period ($R^2 = 0.3$, Fig. 2b).

As shown in Table 1 and Fig. S2, the city is controlled by the high pressure, and the temperature and wind speed were very low during the Light-haze period. Under such a stagnant condition, aerosols in the atmosphere of Xi’an should be mostly derived from the local sources rather than from long-range transport. Thus, it is plausible that the high contribution of dust-related species to TSP concentration during the Light-haze period is mainly derived from the local construction activities, bare soils and unpaved road dust (Han et al., 2007).

3.2.2. Secondary inorganic ions

As shown in Fig. 1c and Table 1, concentrations of $SO_4^{2-}$, $NO_3^-$, and $NH_4^+$ (SNA) increased dramatically during the Severe-haze period, being $3.3 - 5.6$ times of those in the Light-haze period. Such increases in secondary inorganic ions during a haze period have been found in many other Chinese urban regions including Beijing (Y. Sun et al., 2013, 2014), Tianjing (Han et al., 2014), Shanghai (Wang et al., 2014b), and Guangzhou (Tan et al., 2009), of which the meteorological condition is characteristic of low visibility and high relative humidity. During such a haze period solar radiation is weak and $O_3$ level is low, which suggests that photochemical oxidation is inactive. Thus, the above enhanced production of the secondary SNA during the Severe-haze period should be attributable to effective aerosol aqueous-phase oxidation (Y. Sun et al., 2013; Wang et al., 2014b), specifically, the aqueous phase formation of sulfate. Relative humidity during the Severe-haze period was higher ($73 \pm 14\%$, Table 1) and temperature was lower ($-0.7 \pm 2.9^\circ C$). Such meteorological conditions are favorable for the heterogeneous uptake of gaseous $SO_2$ on deliquesced aerosols and subsequent oxidation of the $S$ (IV) into sulfate (Wang et al., 2014b). On the other hand, ammonia is also abundant in Guanzhong basin (unpublished data), which could react with sulfate and neutralize the aerosol aqueous phase, further promoting the formation of sulfate.

3.2.3. Carbonaceous fractions

A few field measurements have suggested that organic components, especially for secondary organic aerosols (SOAs), play an important role in haze formation process (Guo et al., 2014; Huang et al., 2014). SOA in the atmosphere is produced from photo-oxidation of various anthropogenic and biogenic VOCs (volatile organic compounds) (Hallquist et al., 2009) and abundant in hydrophilic carboxylic, hydroxyl and/or carbonyl species (Aggarwal et al., 2013; Wang et al., 2012), which are water soluble species. In the present study, the concentration of OC in the Severe-haze period was 1.8 times of that in the Light-haze period and 5.7 times of those in the Clean period. As shown in Table 1, concentration of water-soluble organic carbon (WSOC), which is mainly consisted of organics containing carboxylic, hydroxyl and hydroxy groups, in the Severe-haze period was 2.1 times of that in the Light-haze period, suggesting an enhanced formation of SOA in the humid period. However, the increasing extent of WSOC (2.1 times) was lower than that of secondary inorganic aerosols (3.3 - 5.6 times), probably suggesting that SOA production is less efficient compared to sulfate and nitrate. In contrast to WSOC, atmospheric WSIC (water-soluble inorganic carbon) consisted of $CO_3^{2-}$ and/or $HCO_3^-$ and derived mainly from dust-related sources (Ho et al., 2012). Their concentrations (Table 1) in the two haze periods were comparable, although other carbon fractions increased obviously during the latter haze event.

3.2.4. Mass closure of TSP

The relative contributions of major chemical species to the TSP concentration in the three periods are shown in Fig. 3. The most important fraction in the whole sampling period is organic matter (OM, calculated by $OC*1.6$) (Turpin et al., 2000), being consistent with those reported by other studies (Huang et al., 2014). The relative contributions of OM to TSP in the Light- and Severe-haze periods are 1.4 and 2.0 times of that in the Clean period, respectively, indicating an important role of organic aerosols in haze formation process in Xi’an. The relative contribution of secondary inorganic ions (i.e., $SO_4^{2-}$, $NO_3^-$ and $NH_4^+$) to the TSP mass enhanced slightly in the Light-haze period (7.7%) compared to that in the Clean period (6.1%), but it increased dramatically in the Severe-haze period (22.3%). Such a result is consistent with that reported by Huang et al. (2014), and further demonstrates that secondary inorganic aerosols also have an important role in haze formation process. As described above, the emission of dust-related sources decreased in the Severe-haze period, thus the relative contributions (5.3%) of dust trace elements (sum of Fe and Ca) and unidentified fractions (31%) to TSP were lower than that in the Clean (10% and 63%) and Light-haze (9.2% and 53%) periods.

3.3. Organic compounds

3.3.1. Primary organic markers

In the current study, about fifty organic compounds were determined to qualitatively analyze the relative contribution of anthropogenic emissions from coal combustion, vehicle exhaust and biomass burning (Table 1 and S1). $17\alpha(H), 21\beta(H)-30$-norhopane($C_{29}$noap) and $17\alpha(H), 21\beta(H)-$hopsene ($C_{19}$noap) are mainly emitted from coal burning and internal combustion engines (Kawamura et al., 1995; Simoneit et al., 2004c). Their concentrations in the Light-haze period were about one order of magnitude higher than those in the Clean period, but comparable with those in the Severe-haze period. It is plausible that the emission strengths of coal burning and vehicle exhaust were relatively stable in the urban area within the sampling days; the increases in hopane concentrations in the two haze episodes were mainly caused by accumulation effect due to the stagnant conditions. Concentrations of the dehydrated sugars (i.e., galactosan, mannosan and levoglucosan) increased by an order of magnitude in the Light-haze period compared to that in the Clean period and further increased during the Severe-haze hours, suggesting an enhanced emission of biomass burning (Engling et al., 2009; Simoneit et al., 2004a) in the Severe-haze time. Both hopanes and levoglucosan presented a similar day and night variation pattern with the highest at midnight (Fig. 4), but the ratio of levoglucosan to $17\alpha(H), 21\beta(H)-30$-norhopane presented higher values at nighttime, which can be ascribed to the enhanced biomass burning emission due to house heating in the rural areas around the city. Dehydroabietic acid is also a typical tracer for biomass burning smoke (Simoneit, 2008) in the Severe-haze period. The relative contribution of dehydroabietic acid to TSP was 5.6 times of that in the Clean period and 1.8 times of that in the Light-haze period. The relative contributions of hopanes ($C_{30}$noap and $C_{30}$noap) to TSP were 1.5 times of that in the Clean period and 1.8 times of that in the Light-haze period, suggesting an enhanced emission of biomass burning in the Severe-haze period.
Thus it presented a strong correlation with levoglucosan ($R^2 = 0.72$) (Table 1 and Fig. 4a).

Due to accumulation effect under the stagnant meteorological condition, concentrations of $n$-alkanes and polycyclic aromatic hydrocarbons (PAHs) in the two haze periods are significantly higher than those in the Clean period. Their higher concentrations (1662 ± 834 and 350 ± 228 ng m$^{-3}$) in the Severe-haze period compared to those (1118 ± 677 and 193 ± 141 ng m$^{-3}$) in the Light-haze period also resulted in part from the enhanced biomass burning emission in the rural areas. Fig. 5 shows the hourly change of diagnostic ratios of $n$-alkanes and PAHs. $n$-Alkanes derived from fossil fuel combustion do not have odd/even carbon number preference. However, $n$-alkanes derived from terrestrial plant emissions are dominated by high molecular weight species (HMW, carbon number > 25) and characterized by an odd number of carbon preference index (CPI) larger than 5 (Rogge et al., 1993; Simoneit et al., 2004b, 2004c). As shown in Fig. 5a, CPI of total $n$-alkanes ($C_{18}$–$C_{36}$) in the whole sampling period was 1.3 ± 0.1 (0.8–1.5) with no significant differences among the Clean, Light-haze and Severe-haze periods, indicating that $n$-alkanes during the sampling period were mostly derived from fossil fuel combustion. However, CPI of HMW $n$-alkanes presented higher values at nighttime than daytime, which can be explained by the enhanced biomass burning activities due to house heating, because HMW $n$-alkanes that are of larger CPI values can be directly emitted from incomplete combustion of biomass such as wheat straw (Wang et al., 2009).

Previous studies reported that diagnostic ratios of Flu/(Flu + Pyr) in the smokes from gasoline, diesel, and coal combustions are ~0.50, ~0.50, and ~0.58 (Agudelo-Castañeda and Teixeira, 2014; Ravindra et al., 2006, 2008; Teixeira et al., 2013), and the ratios of IP/BghiP from the above smokes are 0.2, 0.5, and 1.3 (Ohura et al., 2004), respectively. In addition, BghiP/BeP are 2.0 and 0.8 in emissions from vehicle exhaust and coal burning smoke (Grimmer et al., 1981). In the current study, ratios of Flu/(Flu + Pyr) in the TSP samples were 0.56 ± 0.04 (Fig. 5b), which is close to the ratio of aerosols from coal combustion. IP/BghiP and BghiP/BeP in the current study were 1.0 ± 0.1 and 0.8 ± 0.2 (Fig. 5c and d), respectively, which are also closer to the values for coal combustion, suggesting that PAHs in the city are mostly emitted by residential coal burning for house heating and cooking. As seen in Fig. 5b-d, the diagnostic ratios varied slightly, further indicating that the coal burning is the dominant source of PAHs. However, the ratio of BaP/BeP showed a significant day-night variation with lower value (0.78 ± 0.18) in daytime than that (0.92 ± 0.19) in nighttime (Fig. 5e). BaP is labile to photochemical degradation while its isomer BeP is much stable (Ohkouchi et al., 1999; Okuda et al., 2002), thus the lower value of BaP/BeP can be explained by the stronger daytime photochemical degradation. The average ratios of BaP/BeP were 0.70, 0.86 and 0.87 in the Clean, Light-haze and Severe-haze periods, respectively, while the day/night ratios of BaP/BeP were 0.79 during the Light-haze period and 0.94 during the Severe-haze period. Such enhanced ratios in the heavy haze hours should be caused by a decreased photochemical oxidation under the low visibility condition.

3.3.2. Secondary organic markers

In the current study, o-phthalic acid, succinic acid, and 2,3-dihydroxy-4-oxopentanoic acid are determined and can be roughly considered as indicators for secondary organic aerosols. o-Phthalic acid is believed to be produced by the oxidation of naphthalene and other PAHs (Kawamura and Ikushima, 1993; Kawamura et al., 2005). 2,3-Dihydroxy-4-oxopentanoic acid is a compound derived from toluene oxidation (Kleindienst et al., 2004, 2007), while succinic acid can be formed from photochemical oxidation of unsaturated hydrocarbons and fatty acids emitted from biomass burning and coal combustion (Kawamura and Yasui, 2005; Wang et al., 2010). A few studies have proved that the above precursors, which are volatile or semi-volatile organic compounds, are highly abundant in the atmosphere of urban regions of China (P.Q. Fu et al., 2008; Guo et al., 2014; Ma et al., 2011). In the
current study, averaged concentrations of the three secondary organic markers in the Severe-haze period were 1.9–3.3 times of those in the Light-haze period. Such differences are more significant than that of the POA indicators discussed above. As shown in Fig. 6, these secondary compounds presented a continuously increasing trend, indicating an enhanced production of secondary organic aerosols during the Severe-haze period, which is probably caused by the enhanced partitioning of secondary water-soluble organic gases into the aerosol aqueous phase under the high RH condition. As seen in Fig. S3, o-phthalic acid showed a good correlation ($R^2 = 0.43$) with liquid water content (LWC) of particles in the atmosphere. LWC of particles in the Severe-haze period was much higher ($178 \pm 190 \mu g m^{-3}$), and o-phthalic acid is a moderate water-soluble compound (water-solubility = 0.6 g/100 mL at 25 °C) (http://hazard.com/msds/mf/baker/baker/files/p4270.htm). Thus, the increased concentration of o-phthalic in the Severe-haze hours can be partly explained by the enhanced gas-to-aerosol aqueous phase partitioning. However, more chamber and field studies are warranted in order to understand the exact influence of high RH condition on SOA and haze formation in China.

4. Conclusion

Two types of haze episodes, Light-haze and Severe-haze, in Xi’an during the winter of 2012 were identified based on the differences in visibility and relative humidity. The Light-haze period is characteristic of low RH (53 ± 19%) and moderate impairment (6.8 ± 2.0 km) in visibility while the Severe-haze period is characterized by high RH (73 ± 14%) and extremely low visibility (3.1 ± 0.8 km), although TSP presented a similar level in both events. Chemical compositions and sources of TSP within the two types of haze hours were significantly different. Elemental Fe and Ca ($28 \pm 12$ and $10 \pm 5.2 \mu g m^{-3}$) in the Light-haze period presented much higher concentrations and well correlated with TSP concentrations, suggesting that the Light-haze period is attributed to dust suspension. SO$_4^{2-}$, NO$_3^-$ and NH$_4^+$ within the Severe-haze period increased dramatically to $51 \pm 15$, $44 \pm 9.7$ and $23 \pm 5.6 \mu g m^{-3}$, accounting for 9.6%, 8.3% and 4.4% of the TSP mass, respectively, indicating that secondary oxidation-derived particles are responsible for the haze formation.

Compared to those in the Clean period primary organic aerosols such as hopanes, n-alkanes, PAHs and dehydrated sugars of TSP are about ten times higher in the Light-haze period and twenty times higher in the Severe-haze period, which is mainly due to the accumulation effect under the wintertime stagnant conditions. n-Alkanes and PAHs in the Light-haze period showed similar compositions to those in the Severe-haze period and are characterized by fossil fuel combustion emissions. Enhanced BaP/BeP ratios in the heavy haze hours should be caused by a decreased photochemical oxidation. Compared to those in the Light-haze period relative abundances of o-phthalic and succinic acids to EC increased by a factor of 1.1–1.7, probably indicating that the humid conditions in the Severe-haze periods were favorable for the partitioning of gas-phase water-soluble organics into the aerosol phase, resulting in a higher production of SOA.

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Appendix A. Supplementary data

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References


