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Quantifying the Role of the Relative-Humidity Dependent Physical State of Organic Particulate Matter on the Uptake of Semivolatile Organic Molecules

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23	

24 ABSTRACT

25 The uptake of gas-phase dicarboxylic acids to organic particulate matter (PM) was 26 investigated to probe the role of PM physical state on exchange processes between gas-phase 27 semivolatile organic molecules and organic PM. A homologous series of probe molecules, 28 specifically isotopically labeled ¹³C-dicarboxylic acids, was used in conjunction with aerosol 29 mass spectrometry to obtain a quantitative characterization of the uptake to organic PM for 30 different relative humidities (RH). The PM was produced by the dark ozonolysis of unlabeled α -31 pinene. The uptake of ¹³C-labeled oxalic, malonic, and α -ketoglutaric acids increased stepwise 32 by 5 to 15 times with increases in RH from 15% to 80%. The enhanced uptake with increasing 33 RH was explained primarily by the higher molecular diffusivity in the particle phase, as 34 associated with changes in the physical state of the organic PM from a non-liquid state to a 35 progressively less-viscous liquid state. At high RH, the partitioning of the probe molecules to the 36 particle phase was more associated with physicochemical interactions with the organic PM than 37 with the co-absorbed liquid water. Uptake of the probe molecules also increased with a decrease 38 in volatility along the homologous series. This study quantitatively shows the key roles of 39 particle physical state in governing the interactions of organic PM with semivolatile organic 40 molecules.

41

42 Keywords: Dicarboxylic acids, isotopic labeling, α-pinene ozonolysis, organic particulate
43 matter, physical state, diffusivity, volatility.



47 **1. INTRODUCTION**

48 Atmospheric organic particulate matter (PM) consists in substantial part of secondary 49 products from the oxidation of volatile organic compounds.¹ Organic PM is of great importance 50 to the Earth's climate and human health.^{2,3} Organic PM can have variable viscosities (i.e., 51 physical state) ranging from solids, to semisolids, to liquids.^{4,5} The physical state can vary and 52 change with environmental factors such as relative humidity and temperature as well as chemical 53 factors such as hydrocarbon precursor and the history of reaction chemistry.⁶⁻¹⁰ In this context, 54 relative humidity can be regarded as a particularly important variable among the controlling factors.^{11,12} The physical state of organic PM has been characterized by multiple techniques via 55 directly and indirectly measuring particle viscosity.^{7,10,13–15} The dynamic exchange of gas-phase 56 57 organic molecules, such as organic nitrates, polycyclic aromatic hydrocarbons, and levoglucosan, 58 has been demonstrated to be kinetically limited for sufficiently low relative humidity by the physical state of organic PM,^{16–20} which can also affect the further chemical reactions taken 59 60 place after uptake. There are many different organic molecules present in the atmosphere, 61 whereas the possible roles of organic PM physical state in the interactions between gas-phase 62 and particle-phase organic molecules remain poorly understood. 63 Ubiquitous semivolatile organic compounds (SVOCs) are an important source of organic PM in the atmosphere. SVOCs have saturation concentrations from 10^{-1} to $10^3 \,\mu g \,m^{-3}$, and they 64 65 dynamically partition between the gas and particle phases under typical atmospheric conditions.²¹ Partitioning of SVOCs between the gas and particle phases is one of the key 66 67 processes for predicting the mass concentration of ambient organic PM in the submicron particle size range.^{22,23} Gas-particle partitioning is traditionally assumed to be an effectively 68 69 instantaneous process, yet this assumption is challenged by findings regarding the viscosity and

70 the physical state of organic PM. For instance, the uptake of semivolatile organic nitrates was kinetically limited due to the high viscosity of the organic PM.^{16,18,19} SVOCs such as polycyclic 71 72 aromatic hydrocarbons can be trapped inside highly viscous semisolid organic PM, and further 73 evaporation and oxidation can thus be hindered.^{17,24} Moreover, the physical and chemical 74 properties of SVOCs, such as their diffusivity, volatility, and chemical structure, can be 75 important factors controlling the gas-particle interactions. A transition from a kinetically limited 76 to a thermodynamically limited regime can take place, as observed for example in the uptake of levoglucosan by α -pinene-derived organic PM.²⁰ Overall, the influence of PM physical state and 77 78 the related dependencies on SVOC molecular diffusivity and SVOC volatility on the dynamic 79 exchange and reactivity between organic PM and gas-phase species has been demonstrated as 80 important, yet these factors and interactions remain to be fully quantitatively understood and 81 characterized.

82 Dynamic exchange of species between the gas and particle phases is an important process 83 that ultimately affects the transformation, evolution, and environmental fate of atmospheric PM. 84 Heterogeneous interactions of various gas species have been investigated extensively. A major 85 focus has been on the uptake of water vapor, reactive free radicals (e.g., OH, HO₂, and NO₃), and 86 trace gases of small molecules (e.g., NH₃ and O₃).²⁵ Previous studies have also provided 87 fundamental knowledge on the multiphase chemistry of gas-phase organic species such as 88 glyoxal, methylglyoxal, pinonaldehyde, and isoprene-derived epoxydiols, especially for 89 inorganic particles.^{26–30} The uptake of gas-phase semivolatile organic molecules to organic PM 90 can have different governing factors, in particular when taking into account viscosity and 91 particle-phase reactions. One of the major challenges in characterizing this type of uptake is to 92 track and differentiate the probe molecules once they have interacted with the host organic

matrix in the particle phase. Stable isotope labeling of either probe molecules or organic PM, in
 conjunction with mass spectrometry, provides one strategy to distinguish probe molecules in a
 mixture of organic materials.^{31–34}

96 The present study investigates the dynamic exchange between gas-phase semivolatile 97 organic molecules and organic PM. Isotopically labeled dicarboxylic acids are used as the gas-98 phase probe molecules. Dicarboxylic acids are commonly present in the atmosphere at 99 significant concentrations as the result of oxidation processes, and their uptake may lead to 100 substantial changes in PM hygroscopicity and light-absorption.³⁵ Dicarboxylic acids originate in 101 large part from atmospheric photochemical reactions in aqueous phase and to a lesser extent 102 from biomass burning and fossil fuel combustion.³⁵ Herein, the diffusive uptake of gas-phase 103 dicarboxylic acid molecules by α -pinene-derived organic PM was studied across variable relative 104 humidity (RH). Isotopically labeled ¹³C-dicarboxylic acids were used for identifying and 105 quantifying the uptake in the particle phase using on-line aerosol mass spectrometry. The roles of 106 RH-dependent organic PM physical state and associated diffusivity of probe molecules on the 107 uptake process were studied.

108 2. MATERIALS AND METHODS

109 **2.1. Isotopically Labeled Dicarboxylic Acids.**

110 A homologous series of fully isotopically labeled ¹³C dicarboxylic acids served as the gas-111 phase probe semivolatile organic compounds. The compounds included oxalic, malonic, and 112 adipic acids, which are saturated linear dicarboxylic acids, as well as the functionalized 113 compound of α -ketoglutaric acid. Table 1 summarizes the physical and chemical properties. The 114 isotopic enrichment was > 99% for all compounds (Cambridge Isotope Laboratories, Inc., 115 Andover, Massachusetts, USA). The isotopically labeled dicarboxylic acids can be expected to

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128	2.2. Gas-Phase Uptake.
127	fragments for each ¹³ C-labeled dicarboxylic acid were thereby obtained (Table 1).
126	spectrometer (HR-ToF-AMS; Aerodyne Research Inc.; abbreviated as AMS hereafter). Tracer
125	the flow were characterized by an on-line high-resolution time-of-flight aerosol mass
124	min ⁻¹ . The resulting flow passed through a silica gel diffusion dryer, and the aerosol particles in
123	solution (0.1 g L^{-1}) using an aerosol generator (model 3076, TSI Inc.) at an air flow of 2.5 L
122	¹³ C-labeled particles of a single compound was produced by the atomization of an aqueous
121	compound in the particle phase were identified. For this purpose, a polydisperse population of
120	Prior to the uptake experiments, tracer fragments in the mass spectrum of each probe
119	mid- to upper end of the semivolatile range. ^{21,37}
118	concentrations of these probe compounds ranged from 13 to 1018 μ g m ⁻³ , ³⁶ representing the
117	¹³ C have the same number of electrons and share a similar electronic structure. The saturation
116	exhibit nearly identical physicochemical properties as those of unlabeled ones because ¹² C and

129 A schematic diagram of experimental setup is shown in Figure 1. The setup consisted of 130 four major components, including producing organic PM, generating gas-phase dicarboxylic 131 acids, uptake of gas-phase molecules to the organic PM, and particle-phase measurements. 132 Organic PM was produced by the dark ozonolysis of α -pinene in the Harvard Environmental Chamber (HEC; 4.7 m³ in volume; 4.5 h residence time), which is a continuously mixed flow 133 134 reactor (CMFR).^{38,39} The HEC was operated at 22 °C and 40 % RH. Gaseous α-pinene was 135 generated by evaporation of liquid α -pinene (99%, Sigma-Aldrich) delivered using a syringe pump (model Fusion-200, Chemyx Inc., Stafford, TX, USA) into a flow of zero air (1 L min⁻¹). 136 137 The α -pinene concentration was 22 ppb in the HEC before ozonolysis. Ozone was produced at 138 300 ppb in the HEC by passing a flow of zero air (1 L min⁻¹) through an ultraviolet lamp (model

139	600, Jelight). Ozone was monitored using a photometric ozone analyzer (model 400E, Teledyne).
140	The production of organic PM was initiated by turning on the ozone lamp. PM mass
141	concentrations in the HEC reached a steady state prior to the uptake experiments.
142	The gas-phase probe molecules were produced using a nebulizer, in which the aqueous
143	solution (0.1 g L^{-1}) of each dicarboxylic acid was delivered using a syringe pump (model
144	Fusion-200, Chemyx Inc., Stafford, TX, USA; liquid flow rate: 0.02 to 0.1 mL h ⁻¹) into a
145	concentric quartz nebulizer (Meinhard A3, PerkinElmer Inc., Waltham, MA, USA) using a flow
146	of zero air at 1 L min ⁻¹ . The nebulized probe molecules were further diluted with a flow of zero
147	air (0.1 L min ⁻¹). Unlike the experiments of identifying tracer fragments, the injected aqueous
148	solution in the nebulizer and the dilution were such that full evaporation occurred into the gas-
149	phase (i.e., below gas-phase saturation). As a secondary check, the flow further passed through a
150	filter (Zeflour PTFE membrane, 47 mm diameter, 2 μ m pore size, Pall Corp.) to remove any
151	possible particles before entering into a second CMFR. Gas-phase concentrations of dicarboxylic
152	acids prior to uptake were calculated based on mass balance of the nebulized aqueous solutions
153	and the subsequent dilution flow.
154	For the uptake experiments, a portion (1.5 L min ⁻¹) of the organic PM outflow from the
155	HEC was sampled. The flow was first passed through an ozone scrubber to prevent further

156 oxidation reactions, and it was then directed to a humidity control system¹⁸ with feedback

157 regulation to adjust the RH. Further downstream, the uptake experiments took place. The flow

158 was mixed with gas-phase isotopically labeled ¹³C-dicarboxylic acid molecules in the second

159 CMFR (a 7-L glass Erlenmeyer flask; residence time of 200 s) for exposure and uptake. The

160 outflow from this CMFR was sampled by the AMS, a scanning mobility particle sizer (SMPS;

161 TSI Inc.), and a condensation particle counter (CPC; model 3010, TSI Inc.). These instruments

162 together provided information on PM chemical composition and PM diameter-number 163 distributions. Within a single experiment, the PM mass concentration was constant within ± 0.8 164 μg m⁻³. Across the entire experimental set, the mass concentration of organic PM ranged from 8 165 to 12 μ g m⁻³ in the outflow of the second CMFR. The diameter-number distribution of the 166 particle population was lognormally distributed. The geometric mean diameters ranged from 201 167 to 295 nm. The particle number concentration and the surface area concentration ranged from 168 1603 to 1948 cm⁻³ and 234 to 317 μ m² cm⁻³, respectively. 169 AMS data were processed using the standard ToF-AMS data analysis toolkits (SQUIRREL 170 v1.57I and PIKA v1.61I). A collection efficiency of unity was used in the data analysis. The ¹³C-171 labeled tracer fragments of the probe compounds were unconstrained from their isotopic 172 abundance for fitting the high-resolution mass spectra in PIKA. The signal intensities of background fragments contributed by the isotope abundance of α-pinene-derived organic PM 173 174 were calculated manually based on the mass ratios compared to those of the primary fragments. 175 The background signal intensities were then subtracted from the fitted absolute signal intensities 176 of the ¹³C-labeled tracer fragments. 177 In individual experiments, the uptake of gas-phase probe molecules by α -pinene-derived 178 organic PM was conducted for 6 h at different values of RH. The results presented here were 179 obtained from the last 2 h of each experiment at steady state. A full list of the uptake experiments

180 is summarized in Table 2. Uptake experiments of ¹³C-labeled oxalic and malonic acids were

181 conducted for variable gas-phase concentrations (Exp. 1 to 6 in Table 2). The gas-phase

182 concentrations of the dicarboxylic acid molecules inside the second CMFR prior to uptake are

183 listed in Table 2.

184 3. RESULTS AND DISCUSSION

185 **3.1. Uptake of Gas-Phase Probe Molecules by Organic PM.**

The AMS-derived mass spectra of the four ¹³C-labeled dicarboxylic acids in the particle 186 187 phase are shown in Figure 2. For comparison, the mass spectra of the unlabeled compounds from 188 the standard reference database of National Institute of Standards and Technology (NIST; 189 https://webbook.nist.gov/chemistry/) are also plotted on the right. The mass spectra of ¹³C-190 labeled oxalic, malonic, α -ketoglutaric, and adipic acids have prominent signal intensities of the 191 $^{13}\text{CO}_2^+$ fragment at m/z 45. This feature is similar to that of the unlabeled compounds but shifted 192 by 1 Da for the ¹³C-labeling. The mass spectral profiles are also consistent with those reported 193 previously for the thermal decarboxylation of dicarboxylic acids, which produce high signal 194 intensities at m/z 44 and arise mainly from the CO₂⁺ fragment.^{40,41} Another feature in Figure 2 is 195 that more numerous fragments are produced for an increasing number of carbon atoms in the 196 probe compounds, and correspondingly the average signal intensity decreases as the number of 197 fragments increases. The primary tracer fragments for each of the dicarboxylic acid compounds 198 are listed in Table 1. These tracer fragments are utilized in the analysis for characterizing the 199 uptake of probe molecules to the organic PM. 200 Results for the uptake of gas-phase 13 C-labeled dicarboxylic acids to α -pinene-derived

organic PM are shown in Figure 3. The mass fraction of the dicarboxylic acid tracer fragments in the total mass concentration of the organic PM is plotted for each probe molecule as a function of RH. The mass fractions increased monotonically with RH from 15% to 80% for oxalic, malonic, and α -ketoglutaric acids (Figure 3a–c). The primary fragment ¹³CO₂⁺ increased by a factor of 6.8, 9.5, and 25.1 for each of these acids, respectively, for the highest compared to the lowest RH. 207 In contrast to the other three acids, no systematic change was observed for the mass 208 fraction of adipic acid tracer fragments with increasing RH (Figure 3d). This acid has the highest 209 molar mass, lowest volatility, and expected lowest diffusivity among the studied probe molecules 210 (Table 1), and each of these factors could contribute to the observations. The low volatility of 211 adipic acid implies a low gas-phase concentration prior to uptake and thus low uptake. 212 Furthermore, some ¹²C fragments of the α -pinene-derived organic PM can contribute in small 213 part to the signal intensity at the same m/z value, and in this case the signal intensities of the ¹³C 214 tracer fragments could be too weak to distinguish. Another possibility is that surface adsorption 215 at low RH already considerably depleted the gas-phase reservoir so that there was little further 216 mass to undergo absorption at higher RH. Finally, another possibility is that this large molecule, 217 which was the largest of the probe molecules, had sufficiently low diffusivity even at the highest 218 studied RH that absorption did not occur to a significant extent during the time period of the 219 experiments.

220 Oxalic and malonic acids were used to further test the relationship between the initial gas-221 phase concentration of the probe molecules and the uptake amount to the particle phase (Figure 4). For oxalic acid at 80% RH, the mass fraction of the tracer fragment ${}^{13}CO_2^+$ increased by a 222 223 factor of 4 at the highest (66 ppb) compared to the lowest gas-phase concentrations (20 ppb), 224 representing a factor of 3.3 increase in the gas-phase concentrations. By comparison, the factor 225 was 2 at 10% RH. For malonic acid at 80% RH, the increase was 8.5 times at 19 ppb compared 226 to 4 ppb, representing a factor of 4.8 increase in the gas-phase concentrations. At 10% RH, the 227 increase in the fraction was $< 10^{-4}$. Taken together, an absence of a plateau in the RH-dependent 228 uptake curves in Figures 3 and 4 suggests that saturated uptake, representing the thermodynamic 229 upper limit, was not reached even at 80% RH. Kinetic limitations from low diffusivity thus

continued to be important for the studied particle sizes (250 nm in geometric mean diameter) and
observation times (200 s). Another possibility is that the equilibrium constant shifted with
particle water content, but given the relatively small mass fraction of water, this explanation

- appears not plausible (see further analysis below related to Figure 5).
- **3.2. Influence of the Physical State of Organic PM.**

235 The physical state of organic PM and its connections to molecular diffusivity are important 236 governing factors in the uptake process, as explained below. The mass fractions of the main 237 fragments $C_2H_3^+$, $C_2H_3O^+$, and $C_4H_7^+$ of α -pinene-derived organic PM were nearly constant or 238 decreased slightly with increasing RH in all experiments (Figure S1 in Supporting Information). 239 Increases in the mass fractions of ¹³C tracer fragments with RH are therefore attributed to the 240 enhanced uptake of the labeled dicarboxylic acids to the organic PM (Figure 3). Relative 241 humidity is a dominant factor governing the physical state of organic PM because higher water 242 chemical potentials in the gas phase drive water absorption by the condensed phase. Higher 243 liquid water content logarithmically decreases the viscosity of the organic PM (right axis, Figure 244 7, section 3.3).^{7,12,42} For α -pinene ozonolysis-derived organic PM, the transition from semisolid 245 to liquid viscosity occurs at 70 to 85% RH based on particle rebound, although increased diffusivity and uptake can occur at lower RH.^{12,18,43} After uptake, miscibility rather than phase 246 247 separation was expected for the experimental conditions because the uptake was > 100 times less 248 than the mass concentrations of the host PM matrix, and the carboxylic acid functionalities of the 249 probe molecules are similar to functionalities already in the PM. This case differs from the 250 conditions of some previous reports of phase separation, for which the two organic materials 251 were in comparable amounts and these amounts were higher than the miscibility of one in the other.9,44 252

253 For the RH range of 15 to 80% of the current study, the viscosity of the α -pinene-derived 254 PM decreases monotonically and logarithmically with increasing RH, thereby also changing 255 condensed-phase diffusivity and increasing the rate of uptake when absorption was active (i.e., 256 miscibility).⁷ For a small molecule like NH₃, a cross-over from kinetic limitations associated 257 with low diffusivity to thermodynamic limitations on uptake occurs between 35 and 45% RH.¹⁸ 258 For a medium-sized molecule like levoglucosan, the cross-over also occurs in the same RH range, 259 as explained by the logarithmic change in viscosity with RH.²⁰ The absence of saturation in the 260 uptake in Figures 3a–3c, which differs from the results for NH₃ and levoglucosan, suggests that 261 kinetic limitations tied to decreased diffusivity remain in place for the studied dicarboxylic acids, 262 even up to 80% RH. The implication is that thermodynamic saturation was not reached during 263 the exposure time (200 s) of these experiments.

An alternative explanation could be that the equilibrium constant for thermodynamic saturation shifts with greater water content, thus leading to greater uptake at higher RH.^{45,46} This possible alternative explanation, however, can be ruled out because the estimated enhanced thermodynamic uptake associated solely with water appears too low to account for the observed results, as follows. The partitioning of a probe species between the gas and particle phases can be described by the equilibrium partitioning coefficient K_p (m³ µg⁻¹):^{47,48}

$$K_{\rm p} = \frac{C_{\rm p}}{M C_{\rm g}} \tag{1}$$

where C_p and C_g are the particle- and gas-phase concentrations of the probe species partitioned between the two phases (µg m⁻³), and *M* is the total mass concentration of the absorbing phase (µg m⁻³). For ideal thermodynamic behavior, the partitioning coefficient of a probe species is the inverse of its saturation concentration C^* , meaning $K_p = 1 / C^*$. For an assumption that liquid water is solely the absorbing matrix, the partitioned fraction of the probe species into the liquid

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water can be represented as $F_{\text{water}} = C_p / (C_p + C_g)$, and the remaining fraction of the probe species in the gas phase can be represented as $(1 - F_{\text{water}}) = C_g / (C_p + C_g)$. Therefore, for partitioning of probe molecules associated solely with liquid water, the following functional form is obtained according to eq 1:

280
$$\frac{1}{C^*} = \frac{F_{\text{water}}}{M_{\text{water}} \left(1 - F_{\text{water}}\right)}$$
(2)

where M_{water} is the mass concentration of liquid water (µg m⁻³). The partitioned fraction of the probe species into liquid water can thus be calculated as follows:

283
$$F_{\text{water}} = \frac{M_{\text{water}}/C^*}{1 + M_{\text{water}}/C^*}$$
(3)

284 Quantity M_{water} can be estimated from the changes with RH in particle volume concentration 285 based on the SMPS measurements. In this calculation, particles are taken as spherical, and the 286 increased particle volume concentration is assumed to result solely from the absorbed liquid 287 water content. By this method, the liquid water content accounted for up to 25-33% of the total 288 particle mass at the highest RH (80%) in the studied conditions. This result is consistent with a 289 growth factor of approximately 1.07 at 84% RH for particles of α-pinene-ozonolysis-derived 290 organic PM and diameters of 50 to 120 nm, as reported in ref 49. This growth factor corresponds 291 to 15% by mass of liquid water for an organic PM density of 1.3 g cm⁻³.

Results of the calculations are shown in Figure 5. The mass fractions of the dicarboxylic acids are plotted for the partitioning associated with liquid water compared to that with organic PM (i.e., F_{water} and $F_{organic}$, respectively) as well as their sum as the total partitioning F_{total} in the particle phase. The quantity F_{total} was obtained from the ratio of the total mass uptake in the particle phase compared to the mass concentration of probe molecules in both gas- and particlephases. The total mass uptake in the particle phase was estimated based on the fractions of

298 primary tracer fragments in the mass spectra of pure ¹³C-labeled dicarboxylic acids (Figure 2) 299 multiplied by the total organic PM mass concentration measured by the AMS. The F_{organic} was 300 then calculated from the difference between F_{total} and F_{water} . The partitioned fractions F_{water} of 301 probe molecules into liquid water at the 80% RH are estimated as 0.3%, 3.5%, and 2.8% for 302 oxalic, malonic, and α -ketoglutaric acids, respectively, under the conditions of Figure 3. These 303 values are much lower than F_{total} of 6.9%, 18.9%, and 24.1%, respectively. The partitioned 304 fractions F_{water} in a hypothetical case of solely liquid water are lower when accounting for a 305 decrease in the activity coefficients of dicarboxylic acid molecules in the mixture matrix of 306 organic PM and liquid water with increasing RH (Figure S2 in Supporting Information). The 307 relevant inferences are that the association of dicarboxylic acids with liquid water in the particles 308 did not contribute substantially to the uptake and hence the thermodynamic saturation point for 309 the uptake did not shift appreciably with RH, implying that kinetic limitations to the uptake 310 remained even to the highest studied RH. Therefore, the enhanced uptake of the probe molecules 311 with increasing RH resulted primarily from the changes in the viscosity of the organic PM and 312 the corresponding faster diffusivity of the probe molecules in the condensed phase.

313

The uptake of gas-phase molecules to PM takes place in several sequential steps, including gas-phase diffusion to the particle surface, thermal accommodation with the surface, possible reactions at the gas-particle interface, mass transfer across the liquid or semisolid surface, solvation, and diffusion and possible reaction with the particle.^{25,50} The uptake process is affected both individually and collectively by the diffusing probe molecules and the host PM matrix. Nevertheless, given that conditions for producing organic PM remained the same across the series of experiments, the observed differences in the uptake of each probe species to the

3.3. Volatility and diffusivity of probe molecules.

321 organic PM can be connected to the differences among the probe molecules themselves. 322 Furthermore, the possibility of chemical reactions between the probe molecules and the host PM 323 matrix appears not to be a major factor because of the similar fractions of unlabeled primary 324 fragments and thus the similar mass spectra of organic PM among the experiments (Figure S1). 325 Species volatility can be one of the critical factors governing the uptake process. 8,51 326 According to the gas-particle partitioning described by Eq 1, the mass concentration of the probe 327 molecules within the organic PM is the inverse of their volatility, as expressed by the vapor 328 pressure. The uptake of dicarboxylic acids in the present study follows this trend in terms of the 329 volatility dependence. Figures 6a and 6b present the total uptake of the labeled dicarboxylic acids 330 as functions of relative humidity and vapor pressure, respectively. The gas-phase concentration 331 prior to uptake was approximately the same among these experiments based on the mass balance 332 of the nebulized aqueous solutions and the subsequent dilution flow, specifically 20, 19, and 14 333 ppb for oxalic, malonic, and α -ketoglutaric acids, respectively (cf. Table 2). The uptake of these 334 respective species increased stepwise with RH, reaching 5 to 15 times more at 80% RH 335 compared to 15% RH (Figure 6a). The lowest uptake was for oxalic acid at each RH, and of the 336 three probe molecules it had the highest volatility (Figure 6b). The uptake amounts of the other 337 two probe molecules were similar to one another, and correspondingly their volatilities are 338 similar (Figure 6b). Results from the uptake of levoglucosan²⁰ are also plotted in Figure 6 for comparison. The uptake (0.028 µg m⁻³ at 80 % RH) was much less than that of dicarboxylic 339 340 acids despite a vapor pressure $(1.35 \times 10^{-4} \text{ Pa})$ of 10 to 100 times lower than the probe 341 molecules, but the gas-phase concentration of levoglucosan was 0.2 ppb in those experiments.²⁰ 342 For an assumption of linear scaling with gas-phase concentration from 0.2 to 20 ppb for 343 comparison to the experiments of this study, the projected uptake is significantly more (2.8 µg

m⁻³), in line with the lower vapor pressure of this molecule. Levoglucosan also has lower
 solubility in the PM host matrix and thus achieves the limit of thermodynamic uptake at high RH

346 during the exposure time (200 s).²⁰

The uptake process can also be kinetically limited by a slow diffusion from the particle surface throughout the particle interior. Here we examine the possible effect of molecular size on the diffusivity of probe species. For medium-sized molecules diffusing within a matrix of organic PM that has similar molecular sizes and is not in a glassy state, the Stokes-Einstein relation is applicable for linking the diffusivity of probe molecules to the viscosity of organic PM matrix.^{18,19,52} In this case, the diffusion coefficient D_{org} of the probe molecules is represented as follows:⁵³

$$D_{\rm org} = \frac{kT}{6\pi r_{\rm m}\eta} \tag{4}$$

where *k* is Boltzmann's constant, *T* is temperature, $r_{\rm m}$ is the effective molecular radius, and η is the dynamic viscosity of the host matrix. The time for mixing throughout the particle interior was calculated as a function of particle diameter $d_{\rm p}$ and diffusion coefficient $D_{\rm org}$ by the following relation:⁵⁴

359
$$\tau_{\rm mix} = \frac{d_{\rm p}^2}{4\pi^2 D_{\rm org}} = \frac{3d_{\rm p}^2 \eta r_{\rm m}}{2\pi kT}$$
(5)

The equation shows that the mixing time is proportional to the molecular radius $r_{\rm m}$ of the probe species. The functional form of $\tau_{\rm mix}$ in relation to $r_{\rm m}$ and η is visualized in Figure 7. The molecular radii of oxalic, malonic, and α -ketoglutaric acids were calculated as 0.25, 0.27, and 0.31 nm, respectively, from the van-der-Waals volume by addition of atomic increments and assuming a spherical molecule.^{53,55} The viscosity of α -pinene-derived organic PM at variable RH was obtained from the literature.^{7,15} 366 For an increase in the molecular radius $r_{\rm m}$, the diffusivity of the probe molecules decreases, 367 and the mixing time within the organic PM is longer along isopleths of RH (dashed lines, Figure 368 7). A longer particle mixing time leads to less uptake when in a kinetically governed regime for 369 all other factors held constant. Oxalic acid has the smallest molecular radius and thus the shortest 370 mixing time among the studied probe molecules (Figure 7). Even so, it undergoes less uptake 371 than malonic and α -ketoglutaric acids (Figure 6). The explanation is that uptake is a combination 372 of a sufficiently long exposure time relative to the mixing time and a sufficiently low vapor 373 pressure to drive substantial uptake. In this case, Figure 7 suggests a shift in mixing times for 374 oxalic acid to α -ketoglutaric acid by a factor of 1.2 for an exposure time of 200 s, whereas Table 375 1 shows a shift in vapor pressure by a factor of 0.07. Thus, in this particular instance, the 376 difference in vapor pressures proves more important than the difference in particle mixing times 377 with regard to total uptake.

378 In summary, an isotopic labeling approach in this study distinguished the probe species in 379 the particle phase from the host matrix of the organic PM. Particle physical state, reflected in a 380 relative-humidity dependent viscosity, was the key governing factor in the uptake of dicarboxylic 381 acid molecules to α -pinene ozonolysis-derived organic PM. Co-adsorbed water had a minor 382 influence as an absorbing medium. Up to 80% RH, which was the highest RH of the study, 383 thermodynamic saturation was not reached for the uptake of the semivolatile dicarboxylic acids 384 for the studied conditions. The uptake of dicarboxylic acids differs from the results reported in 385 the literature for ammonia and levoglucosan for a similar PM host matrix, as explained by their lower saturation concentrations in the PM matrix.^{18,20} The monotonically increasing uptake of 386 387 dicarboxylic acids reported herein is distinct from the behavior of probe species without diffusion limitation.^{9,34} The differences among these studies might relate to the properties of the 388

389 semivolatile probe species, such as their molecular structures and functional groups, although the 390 possible effect of different experimental conditions (e.g., the mass concentrations and mixing 391 timescales) should also be considered.⁵⁶ α -Pinene ozonolysis-derived organic PM was used as 392 the host matrix in the current study, and related interactions using organic PM produced from 393 other gas-phase precursors and different reaction chemistry should be studied thoroughly to 394 evaluate and extend the findings with regard to particle physical state and their significance in 395 the atmosphere. Semivolatile probe organic species of various molecular structures and other 396 functional groups also warrant further study for a comprehensive understanding of the 397 relationships between the diffusivity and the physicochemical properties of the probe species. 398 Additional quantitative characterization of the kinetic limitations associated with particle 399 physical state can be obtained by carrying out experiments using size-selected organic PM for 400 variable exposure times.

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405

406 SUPPORTING INFORMATION

407 The Supporting Information is available free of charge on the ACS Publications website.
408 Figure S1 and Figure S2.

409

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594		

595 List of Tables

- **Table 1.** Physical and chemical properties of the probe ¹³C-labeled dicarboxylic acids. The
- 597 asterisks in the molecular structures represent ¹³C labeling on all carbon atoms (i.e., full
- 598 labeling).

	¹³ C-isotopically labeled probe compounds			
compound	oxalic acid	malonic acid	α -ketoglutaric acid	adipic acid
molecular structure	HO + OH	HO + OH	HO HO OH	HO ************************************
chemical formula	$^{13}C_{2}H_{2}O_{4}$	$^{13}C_{3}H_{4}O_{4}$	$^{13}C_{5}H_{6}O_{5}$	$^{13}C_{6}H_{10}O_{4}$
molar mass (g mol ⁻¹)	92.02	107.04	151.06	152.10
chemical purity	≥98%	$\geq 98\%$	$\geq 90\%$	≥98%
vapor pressure (Pa) ³⁶	$2.74 imes 10^{-2}$	3.19×10^{-3}	2.02×10^{-3}	2.14×10^{-4}
saturation concentration ^a (ppb, $\mu g m^{-3}$)	270, 1018	31, 138	20, 123	2, 13
primary tracer fragments ^b	¹³ C ⁺ , ¹³ CO ₂ ⁺ , ¹³ CHO ₂ ⁺	¹³ CO ₂ ⁺ , ¹³ CHO ₂ ⁺ , ¹³ C ₂ H ₄ O ₂ ⁺	¹³ CO ₂ ⁺ , ¹³ CHO ₂ ⁺ , ¹³ C ₃ H ₄ O ⁺	¹³ CO ₂ ⁺ , ¹³ CHO ₂ ⁺ , ¹³ C ₄ H ₇ ⁺

³Saturation concentrations were calculated from the vapor pressure at atmospheric conditions of

600 298 K and 101.325 kPa. The vapor pressures and saturation concentrations listed herein

represent those of unlabeled regular compounds, and no significant differences are expected forthe labeled ones.

⁶⁰³ ^bThe primary tracer fragments were derived from the mass spectra of pure probe compounds

604 measured by the AMS.

605 **Table 2.** List of conducted experiments for the uptake of gas-phase ¹³C-labeled dicarboxylic

606 acids by organic PM produced from the dark ozonolysis of α -pinene. Relative humidity

- 607 in each experiment was increased stepwise from approximately 10% to 85%.
- 608

exp.	probe molecules	gas-phase concentration (ppb)
1	¹³ C-oxalic acid	66
2	¹³ C-oxalic acid	39
3	¹³ C-oxalic acid	20
4	¹³ C-malonic acid	19
5	¹³ C-malonic acid	11
6	¹³ C-malonic acid	4
7	¹³ C-α-ketoglutaric acid	14
8	¹³ C-adipic acid	< 2
9	None	n/a

610 Figure captions

611	Figure 1.	A schematic diagram of the experimental setup for the study of the uptake of ¹³ C-
612		isotopically labeled dicarboxylic acid molecules to organic particulate matter produced
613		by the dark ozonolysis of α -pinene. The green circles in reactor 1 represent the organic
614		PM formed by α -pinene ozonolysis. The blue circles and the green circles in reactor 2
615		represent the gas-phase dicarboxylic acid molecules and their uptake to organic PM,
616		respectively. Abbreviations: PM, particulate matter; HR-ToF-AMS, high-resolution
617		time-of-flight aerosol mass spectrometer; SMPS, scanning mobility particle sizer; and
618		CPC, condensation particle counter.
619	Figure 2.	(a-d) High-resolution mass spectra obtained in this study by aerosolizing reference
620		solutions of ¹³ C-labeled oxalic, malonic, α -ketoglutaric, and adipic acids and
621		conducting measurements using the AMS. (e-h) Mass spectra of the corresponding
622		unlabeled compounds from the NIST standard reference database
623		(https://webbook.nist.gov/chemistry/).
624	Figure 3.	Ratios of the mass concentrations of the primary tracer fragments of probe species in
625		the particle phase to the mass concentrations of total organic particulate matter as a
626		function of relative humidity for ¹³ C-labeled (a) oxalic acid (OXA, 66 ppb), (b)
627		malonic acid (MA, 19 ppb), (c) α-ketoglutaric acid (KGA, 14 ppb), and (d) adipic acid
628		(ADA, < 2 ppb). The results are corrected for AMS background.
629	Figure 4.	Ratios of the mass concentrations of the tracer fragment ${}^{13}\text{CO}_2^+$ in the particle phase to
630		the mass concentrations of total organic PM as a function of relative humidity for (a)
631		¹³ C-labeled oxalic acid and (b) ¹³ C-labeled malonic acid at variable gas-phase

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632	concentrations (for the experiments of 1 to 3 and 4 to 6 in Table 2, respectively). Other
633	tracer fragments of probe molecules exhibited similar trends (not shown).
634	Figure 5. Fraction of ¹³ C-labeled dicarboxylic acid molecules partitioned to the particle phase
635	(i.e., F_{total}) relative to the total concentration in gas- and particle-phases for ¹³ C-labeled
636	(a) oxalic, (b) malonic, and (c) α -ketoglutaric acids (for the experiments of 3, 4, and 7
637	in Table 2, respectively). Also shown is the partitioning of F_{total} as separately
638	attributable to association with organic PM as F_{organic} and liquid water as F_{water} when
639	approximated by a linear mixing model (see main text).
640	Figure 6. Mass concentrations of ¹³ C-labeled oxalic, malonic, and α -ketoglutaric acids
641	partitioned to the particle phase as a function of (a) relative humidity and (b) their
642	vapor pressures (for the experiments of 3, 4, and 7 in Table 2). The mass
643	concentrations were estimated from the fractions of primary tracer fragments ${}^{13}\text{CO}_2^+$
644	and ${}^{13}\text{CHO}_2^+$ in the total organic PM mass concentration and in pure compounds. For
645	comparison, results from Gong et al. ²⁰ for the uptake of levoglucosan at a gas-phase
646	concentration of 0.2 ppb to α -pinene-derived organic PM are also plotted.
647	Figure 7. Expected mixing time of probe molecules within a 250-nm particle as a function of the
648	molecular radius of the probe species based on the Stokes-Einstein relation. The
649	righthand ordinate shows the RH-dependent viscosity of α -pinene ozonolysis-derived
650	organic PM reported at RH of 10-60% ¹⁵ and 70-90% ⁷ based on different approaches
651	in the literature.
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653 List of Figures

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Figure 5.



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Figure 7.